

COMMITMENT

PARTNERSHIP

EXPERIENCE

FLEXIBILITY



*of*  
**Services**  
*Tailored*  
*Around* **You** <sup>®</sup>

# Alternative Investment Services

**ALPS Price Meadows<sup>SM</sup>** offers *a comprehensive package of alternative investment services and our experts will assist you in every aspect of fund administration including fund structure, fund accounting, shareholder servicing and tax support.*

## ***Fund Inception Consultation***

- Work with the fund's professional advisers to determine the most appropriate structure and domicile
- Review all fund documentation
- Coordinate and communicate with legal counsel, brokers, custodians, auditors and directors
- Assist in establishing operations procedures and flows of communication

## ***Shareholder Services***

- Coordinate subscription and redemption process
- Maintain share register and capital balances
- Respond to investor inquiries
- Prepare and distribute investor statements
- Perform AML checks
- Provide investor access to statements via fundnumbers.com secure web site
- Service U.S., Cayman, BVI and Mauritius domiciled funds

## ***Administration & Accounting***

- MFACT<sup>SM</sup> - Industry leading portfolio accounting system
- GLASS<sup>SM</sup> proprietary partnership allocation database
- Determine and allocate economic profit and loss
- Performance reporting
- Cash management
- Utilize multi-level review process
- Prepare financial statements
- Account for complex securities and side pockets
- Support for structures with shares or capital accounts and multiple feeders.

## ***Middle Office***

- Trade blotter reconciliation
- Multiple Prime Broker capabilities
- Daily pricing

# *The Voice of Experience in Hedge Fund Administration*<sup>SM</sup>

**ALPS Price Meadows administers** *more than 200 funds for managers across the United States, the British Virgin Islands and the Cayman Islands. ALPS Price Meadows is led by a senior management team with an average of 20 years experience in the hedge fund industry, with operations in Boston, Denver and Seattle.*

**Ranked #1 Hedge Fund Administrator** *servicing funds with \$100 Million to \$1 Billion in assets by Global Custodian in its 2009 Hedge Fund Administration Survey.*

**Top Rated Hedge Fund Administrator** *servicing funds with less than \$1 Billion in assets by Institutional Investor in the 2006, 2007, 2008, and 2009 ALPHA Awards™.*

***“Whether it’s hedge or mutual funds, our clients DESERVE and RECEIVE the best.”***

> ALPS was named the #1 Mutual Fund Administrator in North America by Global Custodian in 2008 & 2009.

## Fund Strategies & Structures Supported

- Long/Short Equity
- Funds of Funds
- Private Equity & Venture Capital
- Convertible, Statistical & Merger Arbitrage
- Managed Futures
- Multi-Strategy
- Multi-Currency
- Real Estate
- Emerging Markets
- Distressed Debt (including bank loans)
- Global Macro
- Master Feeder Funds (including conventional and memorandum structures)
- Side-by-Side Funds
- Separately Managed Accounts



# Committed *to* Do Things Right

## *OUR Company*

- Established in 1985
- Over \$200B<sup>^</sup> in Client Assets serviced in divisions providing Mutual Fund Administration, Hedge Fund Administration, Distribution and Advisory Solutions for the Investment Management Industry
- 300 Employees
- 29 Officers & Directors – no turnover at this level in the past 5 years
- 50% Average Annual Revenue Growth from Fiscal year 2003 through Fiscal year 2008
- Total Assets Serviced increased by more than \$100 Billion<sup>^</sup> over the past 5 years
- Annual Type II SAS-70 covering the Accounting, Administration and Transfer Agency departments

## *OUR Accomplishments*

*In addition to our #1 hedge fund ranking, our mutual fund services were recognized by Global Custodian.*

- **Ranked #1 Fund Administrator** in North America. 2008 & 2009
- **Ranked #1 Fund Administrator** servicing funds with \$100 million to \$1 billion in assets. 2009
- **Ranked #1 Fund Administrator** servicing domestic, equity, fixed income, fund of funds, and other funds. 2008
- **Ranked #1 Fund Administrator** servicing funds with less than \$1 billion in assets and **ranked #2** servicing funds with \$1 billion to \$5 billion in assets. 2008

*“ALPS’ strength is in their desire to work hard to **EXCEED** clients’ **EXPECTATIONS** and **CUSTOMIZE** their services.”*

<sup>^</sup> As of December 31, 2009.

> 2009 Global Custodian Mutual Fund Administration Survey

# Mission

We are a dynamic financial services firm built on relationships. We have a contagious enthusiasm for our people, our clients, our business partners, and our mutual opportunities for success.

## Guiding Principles

*We believe* that personal relationships are the foundation of our success.

*We are committed* to “Do Things Right.”

*We are driven* by growth and the challenges and opportunities it presents.

*We strive* to establish and grow relationships with organizations that share our values.

*We promote* an open and enthusiastic environment that leads to job satisfaction and career advancement based on merit.



**Denver**

1290 Broadway  
Suite 1100  
Denver, CO 80203  
303.623.2577 **TEL**  
303.623.7850 **FAX**

**Seattle**

11747 N.E. First Street  
Suite 202  
Bellevue, WA 98005  
425.454.3770 **TEL**  
425.646.3440 **FAX**

**Boston**

99 High Street  
Suite 303  
Boston, MA 02110  
617.426.1170 **TEL**  
617.830.8908 **FAX**

**New York**

1225 Franklin Avenue  
Suite 325  
Garden City, NY 11530  
516.998.3067 **TEL**

[www.alpspricemeadows.com](http://www.alpspricemeadows.com)